

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/887,831	06/22/2001	Robert D. Battin	CE08914R

CONFIRMATION NO. 7869

22917
MOTOROLA, INC.
1303 EAST ALGONQUIN ROAD
IL01/3RD
SCHAUMBURG, IL 60196

FORMALITIES LETTER



OC000000006423364

Date Mailed: 08/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

09/13/2001 EABUBAK1 00000044 134772 09887831

01 FC:105 130.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



sector 4
#3

DOCKET NO.: CE08914R

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Robert Battin
APPLICATION NO.: 09/887,831
FILED: 06/22/01
GROUP ART UNIT: 2152
TITLE: A METHOD AND APPARATUS FOR TRANSMITTING DATA IN A
COMMUNICATION SYSTEM

Certificate of Mailing

Date of deposit: September 10, 2001 TTS

I hereby certify that this paper is being deposited with the United States Postal Service on the date indicated above, as first-class mail, with sufficient postage attached thereto, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C.

Tanya Scheuer
Signature of Person Mailing Paper

Tanya Scheuer
Printed Name of Person Mailing Paper

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Customer Service Center
Initial Patent Examination Division

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

Responsive to the Notice to File Missing Parts of Application dated 08/14/01, please find enclosed:

- ☒ 4 pages Declaration Combined with Power of Attorney
- ☒ 1 page copy of Notice to File Missing Parts of Application
- ☒ 3 Pages Assignment and Agreement
- ☒ 1 Page Recordation Transmittal Sheet

The Commissioner is hereby authorized to charge all fees due to Account No. 13-4772. A fee transmittal is enclosed.

Respectfully submitted,

SEND CORRESPONDENCE TO:

Motorola, Inc.
Intellectual Property Section
Law Department
1303 E. Algonquin Rd.
Schaumburg, IL 60196

By:

Steven A. May

STEVEN A. MAY
Attorney of Record
Reg. No.: 44,912

Telephone: 847-576-3635
Fax No.: 847-576-3750



04 C O
09-19-01

PATENT
CE08914R

Receipt
#4

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Battin et al.
SERIAL NO.: 09/887,831
FILE DATE: 06/22/01
GROUP ART UNIT: 2152
TITLE: METHOD AND APPARATUS FOR TRANSMITTING DATA IN A COMMUNICATION SYSTEM

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: Office of Initial Patent Examination, Customer Service Center, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON: September 6, 2001

Date of Deposit

MOTOROLA INC.

Name of Assignee

SIGNATURE

Tanya Scherer

DATE

9/6/01

CORRECTION OF FILING RECEIPT

Office of Initial Patent Examination
Customer Service Center
Honorable Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

It is respectfully pointed out that the Filing Receipt for the above-identified patent application is incorrect. The title of the application is incorrect. "METHOD AND APPARATUS FOR TRANSMITTING DATA IN A COMMUNICATION SYSTEM"

should be "METHOD AND APPARATUS FOR TRANSMITTING DATA IN A COMMUNICATION SYSTEM".

Please correct the title as follows "METHOD AND APPARATUS FOR TRANSMITTING DATA IN A COMMUNICATION SYSTEM" and issue a corrected filing receipt. A copy of the Filing Receipt with the change noted thereon is enclosed.

No fee is due since the error was not that of Applicant.

Respectfully submitted,

Steven A. May
Steven A. May
Attorney for Applicant(s)
Reg. No. 44,912
Tel. 847-576-3635
Fax 847-576-3750

MOTOROLA, INC.
Customer Number 22917



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/887,831	06/22/2001	2152	1750	CE08914R	12	60	7

CONFIRMATION NO. 7869

22917
MOTOROLA, INC.
1303 EAST ALGONQUIN ROAD
IL01/3RD
SCHAUMBURG, IL 60196

FILING RECEIPT



OC00000006423363

U.S. DOCKETING

Date Mailed: 08/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Robert D. Battin, Kildeer, IL;
Rajeev Ranjan, Buffalo Grove, IL;
Stephen L. Spear, Skokie, IL;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 08/14/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title



Method and apparatus for transmitting data in a communication system

Preliminary Class

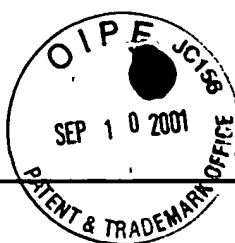
709

Data entry by : ROBINSON, FELICIA

Team : OIPE

Date: 08/14/2001





PTO/SB/21 (08-00)

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in this Submission

Application Number	09/887,831
Filing Date	06/22/01
First Named Inventor	Robert Battin
Group Art Unit	2152
Examiner Name	Unknown
Attorney Docket Number	CE08914R

ENCLOSURES

(check all that apply)

☒ Fee Transmittal Form☐ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/Declaration(s)☐ Extension of time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Documents☒ Response to Missing Parts/
Incomplete Application☐ Response to Missing Parts
Under 37 CFR 1.52 or 1.53☒ Assignment Papers
(for an Application)☐ Drawing(s)☐ Licensing-Related papers☐ Petition☐ Petition to Convert to a
Provisional Application☐ Power of Attorney, Revocation,
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CDs

Remarks

☐ After Allowance
Communication to Group
☐ Appeal Communication to Board
of Appeals and Interferences
☐ Appeal Communication to Group
{Appeal Notice, Brief, Reply Brief}
☐ Proprietary Information☐ Status Letter with appropriate copies☒ Other Enclosure(s) (please identify below)☒ 4 pages Declaration Combined with
Power of Attorney☐ sheet(s) formal drawings☒ copy of Notice to File Missing
Parts of Application**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual	Steven A. May	Registration No.	44,912
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Signature

Date

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage thereon, as first-class mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on the date listed below:

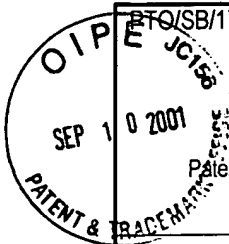
Typed or printed name Tanya Scheuer

Signature

Date

9/6/01

#3



PTO/SB/17 (11-00)		Complete if Known	
FEE TRANSMITTAL Patent fees are subject to annual revision		Application Number	09/887,831
		Filing Date	06/22/01
		First Named Inventor	Robert Battin
		Examiner Name	Unknown
		Group Art Unit	2152
TOTAL AMOUNT OF PAYMENT	(\$ 170.00)	Attorney Docket No.	CE08914R

METHOD OF PAYMENT				FEE CALCULATION (continued)																																																																																																																																																																																							
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: Deposit Account Number: 13-4772 Deposit Account Name: Motorola, Inc. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27				3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Fee</th> <th>Small Entity</th> <th>Fee</th> <th>Fee Description</th> <th></th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td>130.00</td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge - late Provisional filing</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2520</td> <td>147</td> <td>2520</td> <td>For filing a request for ex parte Reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>1840*</td> <td>113</td> <td>1840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>390</td> <td>216</td> <td>195</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>890</td> <td>217</td> <td>445</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1390</td> <td>218</td> <td>695</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1890</td> <td>228</td> <td>945</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>310</td> <td>219</td> <td>155</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>310</td> <td>220</td> <td>155</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>270</td> <td>221</td> <td>135</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1510</td> <td>138</td> <td>1510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>Petition to revive - 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SUBMITTED BY Name (Print/Type): Steven A. May Signature:				Complete (if applicable) Registration No. 44,912 Telephone 847-576-3635 Mail Date 9/6/01																																																																																																																																																																																							